EQUILEND

Securities Financing Transactions Regulation (SFTR)

EquiLend offers multiple solutions to assist our clients with their SFTR obligations, leveraging our award-winning trading and post-trade services to facilitate options from a light-touch NGT-based solution to a full end-to-end trade repository reporting solution.

Solutions Available

1. UTI Sharing - NGT Based

A light-touch solution that shares UTI and SFTR trade data via NGT to facilitate trade reporting.

Key Benefits

- Fast set-up leveraging NGT
- Ensures counterparties have the info they need at point of trade
- SFTR trade reporting data agreed at point of trade

Why Choose this Solution?

Ideal for firms that already have a reporting solution but need UTIs to be generated at point of trade and shared with trade counterparts.

2. UTI Sharing & Data Enrichment

EquiLend performs omni pairing/matching and data enrichment using internal business rules and delivers an outbound file that includes data elements (including allocation data) ready for SFTR reporting.

Key Benefits

- Data enrichment and reconciliation
- All reportable lifecycle events supported
- Supports agent lender flow

Why Choose this Solution?

A great fit for firms that need reliable matching and data enrichment without committing to a full end-to-end reporting workflow, maximising control of data elements within the firm.

3. End-to-End Solution

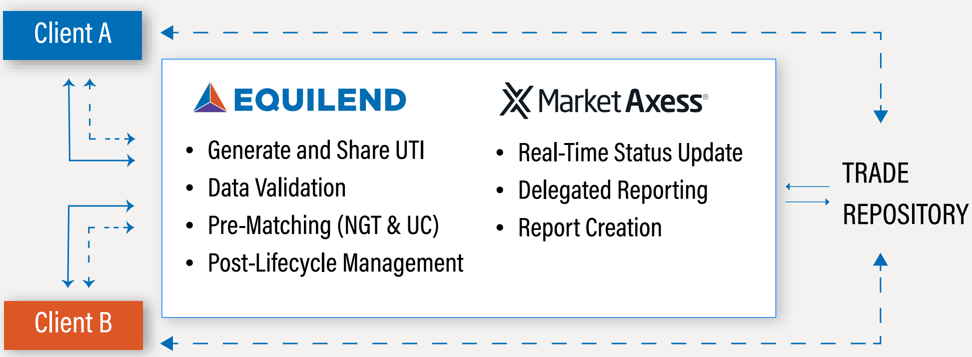
Full reporting processing from inbound trade ingestion, to data enrichment and submission to the Trade Repository via MarketAxess.

Key Benefits

- Fully automated end-to-end flow with minimal manual touch points
- Dual-layer enrichment from EquiLend and MarketAxess ensures data completeness and accuracy
- Built-in exception handling and submission tracking through the dashboard

Why Choose this Solution?

- The best solution for firms that need automation, auditability and compliance in one ready to use solution.



→ Direct End-to-End EquiLend MarketAxess Solution Client

⇢ Consumes UTI and Self Reports to Trade Repository