



EquiLend Limited Pricing Schedule

VERSION 2024/3

EQUILEND LIMITED

HENNEBIQUE STUDIO, 5 DAME LANE, DUBLIN 2, IRELAND

Next Generation Trading (NGT):

Client fees are priced based on the number of trading transactions matched through the NGT platform. Rejected or unmatched orders are not counted toward transaction totals. Clients are billed quarterly in arrears.

TIER	QUARTERLY FEE	ALLOTTED TRANSACTIONS	ADDITIONAL TRANSACTION FEES
Tier 1	\$371,700	Unlimited	N/A
Tier 2	\$274,425	34,000	\$8.07
Tier 3	\$185,975	20,000	\$9.30
Tier 4	\$148,625	14,000	\$10.62
Tier 5	\$121,125	10,000	\$12.11
Tier 6	\$92,850	6,500	\$14.28
Tier 7	\$61,725	3,500	\$17.64
Tier 8	\$42,025	2,325	\$18.08
Minimum*	\$20,100	1,100	\$18.27

**Unused minimum tier allocated trades in any quarter are referred to as carried trades. Any carried trades not used in the following quarter will be forfeited. The maximum number of trades in one quarter before accruing overages is 2,200. All carried trades expire at year end.*

SUPPORT AND MAINTENANCE CHARGE

All NGT fees are subject to a 7% support and maintenance charge.

NGT TRIAL FOR NEW CLIENTS

New Clients may avail of a 6-month NGT trial priced at \$26,000 for the period with a trade count of 750 per quarter. Any additional quarterly transactions will accrue at \$17.33 per trade.

INTEGRATION FEE

Clients will be charged \$15,000 for a 6-to-8-week FTP integration or a 12-week XML/MQ integration.

EXCLUSIVE BILATERAL PRICING

A Client may enter an arrangement to avail of exclusive bilateral pricing subject to Rule 3.8. of the EquiLend Limited MTF Rulebook. Additional activity fees are subject to a tiered pricing structure and are borne by the Counterparty to the Client.

EXCLUSIVE PRICING MODEL		
SPONSORED TIERS	CLIENT QUARTERLY	CLIENT PAID ALLOCATION
Minimum	\$27,250	1,500

SPONSORED TIERS	TIER ALLOCATION	OVERAGE \$ PER TKT
Tier 1	10,000	\$4.00
Tier 2	7,500	\$5.00
Tier 3	5,000	\$6.00
Tier 4	2,500	\$8.00
Minimum	1,500	\$10.00



Next Generation Trading (NGT) Domestic Japanese Market Pricing¹:

Applicable to Clients who elect to trade in Domestic Japanese Market only. Client fees are priced based on the number of transactions matched in Japanese domestic securities² through the EquiLend platform. Rejected or unmatched orders are not counted toward transaction totals. Clients are billed quarterly in arrears.

Tier	Quarterly Fee	Allotted Transactions	Additional Transaction Fees
T1	\$121,000	100,000	\$1.21
T2	\$102,000	33,750	\$3.02
T3	\$82,000	22,500	\$3.64
T4	\$67,000	13,750	\$4.87
T5	\$58,000	8,750	\$6.63
T6	\$42,500	5,000	\$8.50
T7	\$30,000	3,125	\$9.60
MIN	\$21,950	2,000	\$10.98

SUPPORT AND MAINTENANCE CHARGE

All NGT fees are subject to a 7% support and maintenance charge.

INTEGRATION FEES

Clients will be charged \$5,000 for onboarding our web-based portal, \$15,000 for a 6-to-8-week FTP integration or a 12-week XML/MQ integration.

¹ Up to 1% of Quarterly Allotted Transactions can be executed in non-domestic Japanese securities.

² Equities listed on any of the national stock exchanges of Japan, Bonds and/or other securities issued in Japan.

Swaptimization:

Swaptimization fees are billed monthly and are calculated as an annualized one (1) basis point fee on the daily outstanding notional amount (price of the security multiplied by the number of shares) of transactions effected through the service. Swaptimization fees are separate from fees for other EquiLend functions and service.

SUPPORT AND MAINTENANCE CHARGE

All Swaptimization fees are subject to a 7% support and maintenance charge.

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